

RECEIVED
CENTRAL FAX CENTER

FEB 22 2005

Docket No. 1232-4797**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): TAKEDA, et al.
Serial No.: 10/014,109
Filed: December 10, 2001
For: DUST AND DIRT DETECTION IN IMAGE READING APPARATUS
HAVING ORIGINAL FLOW SCANNING FUNCTION

Group Art 2626**Examiner:** Scott A. ROGERS**CERTIFICATE OF FACSIMILE TRANSMISSION**

Mail Stop Amendment
COMMISSIONER for PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following items:

1. Information Disclosure Statement (3 pp.);
2. PTO-1449 (1 p.);
3. Copies of 3 items cited on PTO-1449 (25 pp.);

is/are being transmitted pursuant to 37 C.F.R. §1.8 by facsimile on the date indicated below, to:

Examiner Scott A. ROGERS
Group Art Unit: 2626
Tel. No.: (703)305-4726
FAX No.: (703) 872-9306

Transmission Total: 30 pages (including this cover sheet).

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: February 22, 2005By: 
Stephen Manetta**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile

9/1537 v1

Docket No. 1232-4797 RECEIVED
CENTRAL FAX CENTER**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

FEB 22 2005

Applicant(s): TAKEDA, et al.

Group Art 2626

Serial No.: 10/014,109

Examiner: Scott A. ROGERS

Filed: December 10, 2001

For: DUST AND DIRT DETECTION IN IMAGE READING APPARATUS HAVING
ORIGINAL FLOW SCANNING FUNCTION**INFORMATION DISCLOSURE STATEMENT**Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

- 1 ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
For Item A, JPA 02-186870, English Abstract - Item AA- is provided; and For Item B, JPA 08-130616, an English Abstract -Item BB- is provided
- 2 ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
- 3 ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.
- 4 ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
- ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or

9/1538 v1

Docket No. 1232-4797Serial No. 10/014,109

- ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. 13-4500, Order No. _____. A
DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
- c. ☐ The fees due under 37 C.F.R. §§1.17(h) and 1.17(p) are paid as set forth in paragraph 11 below.

Docket No. 1232-4797Serial No. 10/014,109

9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☒ This document is accompanied by ☐ a Search Report ☒ Communication which was received in a corresponding ☐ PCT or ☒ Foreign counterpart application (Japanese Patent Application No. 2000-378039).
11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☐ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4797.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: February 22, 2005

By: _____

Stephen Manetta
Stephen Manetta

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile

Page 1 of 1

| FORM PTO-1449 | | | | Attorney Docket: 1232-4797 | | Serial No.: 10/014,109 | |
|---|----|--|------------------|-----------------------------------|-------|---------------------------|--|
| INFORMATION DISCLOSURE CITATION | | | | Applicant: TAKEDA, et al. | | | |
| | | | | Filing Date: December 10, 2001 | | Group Art Unit: 2626 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | | Patent Number | Issue Date | Name | Class | Sub-Class | Filing Date |
| | 1. | | | | | | |
| | 2. | | | | | | |
| | 3. | | | | | | |
| | 4. | | | | | | |
| | 5. | | | | | | |
| | 6. | | | | | | |
| | 7. | | | | | | |
| | 8. | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| Examiner Initial | | Patent Number | Publication Date | Country | Class | Sub-Class | Translation |
| | A | 02-186870 | 07/23/1990 | Japan | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | B | 08-130616 | 05/21/1996 | Japan | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | C | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | D | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | E | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| OTHER DOCUMENTS (including Audio, Title, Date, etc.) | | | | | | | |
| | AA | English Abstract for JPA 02-186870 | | | | | |
| | BB | English Abstract for JPA 08-130616 | | | | | |
| | CC | Office Action dated November 30, 2004 issued in counterpart Japanese Application No. 2000-378039 | | | | | |
| | DD | | | | | | |
| | EE | | | | | | |
| | FF | | | | | | |
| Examiner | | | | Date Considered | | | |
| EXAMINER: /Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | | | | | |

901539 v1